

# Metabolic Mechanism in Migraine: Tricaprilin, a Ketogenic Agent

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## ABSTRACT

- Background:** Increasing evidence suggests that migraine headaches have an underlying metabolic etiology. In particular, the induction of ketosis has been implicated in correcting metabolic defects found in migraineurs. Tricaprilin is a ketogenic agent that can induce ketosis without the need for changes to diet.
- Design:** This was a double-blind, randomized, placebo-controlled, 3-month study of up to 60g/day tricaprilin. The study was designed to include two parts; Part 1 a small pilot study for accurate sample size calculation; and Part 2 a fully powered proof-of-concept study. The primary endpoint was the change from baseline in the number of migraine headache days (MHDs) during month 3. Participants were to have 4-24 MHDs in the baseline period. The study was registered on clinicaltrials.gov (NCT04437199).
- Results:** Due to formulation tolerability issues, Part 2 was not conducted. Results from Part 1 (non-powered pilot phase) are presented. A total of 81 participants were randomized and dosed in the study (n=40 tricaprilin, n=41 placebo), and 61 participants (31 per arm) had evaluable efficacy data. For Part 1, there was no meaningful difference in the primary endpoint between treatment arms during Month 3. During Month 2, a mean improvement of -2.75 days was observed. In favour of tricaprilin, in a pre-specified per-protocol analysis set there was a difference of -1.49 days to placebo at Month 3. The withdrawal rate was 45.0% and 53.7% (tricaprilin; placebo). Treatment-emergent adverse events, majority gastrointestinal, occurred in both active and placebo arms (90.0%, 82.9%).
- Conclusion:** Results of the pilot suggest directional promise over 2-3 months for tricaprilin, and a new formulation will be used for larger, fully-powered Phase 2/3 studies.

## DESIGN

**Statistical Analysis**

Sample Size	Analysis Sets
<ul style="list-style-type: none"> <li>Since there was no efficacy data for tricaprilin in migraine prior to this study, the study was originally designed in two parts</li> <li>Part 1 was a pilot study, designed to provide an estimate of the between-subject SD in this population prior to embarking on Part 2, which was designed to demonstrate proof of concept</li> <li>Under the original design, a sample size re-estimation would have been performed at the end of Part 1, using the observed between-subject SD from Part 1, however Part 2 was not conducted due to the tolerability of the AC-SO-03 formulation</li> <li>Part 1 was therefore not formally powered for the primary endpoint</li> </ul>	<ul style="list-style-type: none"> <li>Full Analysis Set (FAS): All randomized subjects who received at least one dose of IMP, analysed in accordance with intended treatment arm</li> <li>Safety Set (SAF): Same as above, but analysed according to treatment received</li> <li>Evaluate for Efficacy Set (EES): Subset of the FAS with at least 14/28 diary entries in any post-baseline month</li> <li>Intended Titration Evaluable for Efficacy Set (EETS): A per-protocol sensitivity analysis set for Protocol Amendment 3. The subset of the EES who received a 21-day titration, and achieved a maximum daily dose no higher than 40g of Tricaprilin/Placebo between days 13 and 21</li> </ul>
<ul style="list-style-type: none"> <li>Primary endpoint: change from baseline in migraine headache days (MHDs) in Month 3</li> <li>The effect of tricaprilin on the change in the number of MHDs per month was estimated from a mixed effects repeated measures model fitting the change from Baseline in MHDs as the response variable, treatment (tricaprilin or placebo), time (month 1, month 2, or month 3) and a treatment by time interaction term as fixed effects, and as well as the continuous fixed covariate of baseline number of MHD. An unstructured covariance matrix was used.</li> </ul>	

## RESULTS

**Efficacy Analysis: Post-hoc exploration of the data**

Participant had 26 MHDs in baseline measurement period. Participant had 26 MHDs in baseline measurement period.

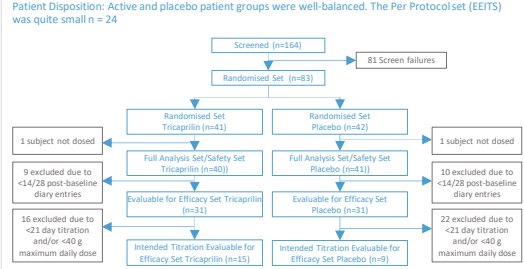
Post-hoc exploration of the data highlights the impact of participants who did not have between 4-24 MHDs in the baseline measurement period. Repeating the primary endpoint excluding participants who did not have 4-24 MHDs gives the following results:

Population	Treatment	N	Adjusted Mean
EES	CER-001	21	-3.42
	Placebo	19	-4.34
EES (4-24 MHDs)	CER-001	19	-3.78
	Placebo	17	-3.70

## BACKGROUND

- Induction of ketosis as a mechanism for correcting metabolic defects in migraineurs.**
- Cerecin has developed CER-001 (tricaprilin) a pure CB medium chain triglyceride (MCT), to safely induce ketosis
  - Efficacy has been shown in two Phase 2 studies in mild to moderate Alzheimer's disease [1,2]
  - Cerecin is also exploring the use of CER-001 in migraine, where reports of efficacy with the ketogenic diet have been reported in case studies and controlled trials [3-8]
  - The primary pathogenic mechanism for migraine is not definitively elucidated, however there is evidence to suggest involvement of brain energy metabolism abnormalities. It has been postulated that migraine is a response to cerebral energy deficiency or oxidative stress levels and that the attack itself helps to restore brain energy homeostasis and reduces harmful oxidative stress levels [9]
  - Ketosis is thought to restore brain electrical activity and metabolism, and help counteract neuroinflammation in migraine, although the precise mechanism is unclear [10]

## RESULTS



## DESIGN

**Initially designed as a two-part study, Part 1 for accurate sample size estimation, Part 2 for Proof of Concept**

Due to the tolerability of the AC-SO-03 formulation, only Part 1 of the study was conducted. Part 2 will be conducted as a separate study with a new formulation of CER-001.

**Key Protocol Amendments:**

- Original protocol included titration up to a max daily dose of 60 g. Protocol version 3 onwards used a maximum daily dose of 40 g
- Original protocol included a 2-week titration. Protocol version 3 onwards used a titration period of 3 weeks.

**Key Protocol Deviations:**

- Subjects who did not have intended disease (migraine) - 0 in the study
- Subjects who did not have intended indication (4-24 Migraine Headache Days) - 5 in the study

The study was designed according to the principles outlined International Headache Society (IHS) Guidelines for Controlled Trials of Drugs in Migraine [11]

## RESULTS

**Baseline Characteristics: Participants were generally balanced between treatment arms**

Characteristics	Statistics	CER-001 (N=40)	Placebo (N=41)	Total (N=81)
Age	n	40	41	81
	Mean	44.8	46.9	45.8
	SD	12.21	11.50	11.83
	Median	46.0	49.0	48.0
	Min, Max	18, 70	22, 66	18, 70
Sex	Female	n (%) 30 (75.0%)	35 (85.4%)	65 (80.2%)
	Male	n (%) 10 (25.0%)	6 (14.6%)	16 (19.8%)
Race	White	n (%) 38 (95.0%)	37 (90.2%)	73 (90.1%)
	Indian/ Indian sub continent	n (%) 0 (0.0%)	1 (2.4%)	1 (1.2%)
	Chinese	n (%) 1 (2.5%)	0 (0.0%)	1 (1.2%)
	Japanese	n (%) 1 (2.5%)	0 (0.0%)	1 (1.2%)
	Aboriginal Australian	n (%) 0 (0.0%)	3 (7.3%)	3 (3.7%)
	Other	n (%) 0 (0.0%)	1 (2.4%)	1 (1.2%)

- Age, Height and BMI were well-balanced between treatment groups
- A slight imbalance was observed between arms with respect to sex:
  - The CER-001 arm contained more males (25%) than the placebo arm (14.6%)
  - There was also a slight imbalance in weight between arms, probably a consequence of the slight sex imbalance
- BMI was balanced however

## DESIGN

**Inclusion and Exclusion Criteria Included Frequent Migraineurs who had Tried and Failed at Least 1 Prophylactic**

Key Inclusion Criteria	Key Exclusion Criteria
<ul style="list-style-type: none"> <li>Subject had migraine diagnosed according to ICHD-3 beta criteria, age at time of onset &lt;50 years</li> <li>Subjects had 4-24 Migraine Headache Days in the baseline measurement period</li> <li>Use of one allowed migraine prophylactic permitted</li> <li>Subjects must have trialed and failed at least 1 (and up to 4) categories of prophylactic migraine medications</li> <li>Subjects had at least 80% compliance with headache diary entries in the baseline measurement period</li> </ul>	<ul style="list-style-type: none"> <li>History of hemiplegic migraine, cluster headache, or other trigeminal autonomic cephalgia</li> <li>Presence of a chronic pain syndrome (other than migraine), such as fibromyalgia</li> <li>History of a major psychiatric disorder or current moderately severe depression</li> <li>Use of barbiturates or opioids for migraine acute treatment 24 days per month on average</li> <li>Use in the last 3 months of CGRP agents, Botox, TENS, cranial nerve blocks, trigger-point injections, acupuncture specifically for migraine</li> <li>Use in the last 2 weeks of 5-HT2 inhibitors</li> <li>Current use or use within the last 3 months of MCT-containing products or a ketogenic diet, low-carb diet, intermittent fasting</li> </ul>

## RESULTS

**Efficacy Analysis: Efficacy Signal at Month 2 (EES) and in the Per Protocol Set (EETS); Month 2 and Month 3), small participant numbers limit the ability to draw conclusions**

**Statistical Analysis of the Change from Baseline in Migraine Headache Days (MHDs ± SE) Over Time**

Primary Endpoint: Change from Baseline in MHDs in Month 3, Evaluable for Efficacy Set (EES); CER-001: -3.4 MHDs, placebo: -4.3 MHDs, p = 0.586

Change from Baseline in MHDs in Month 3, Per-Protocol Evaluable for Efficacy Intended: Titration Set (EETS); CER-001: -4.7 MHDs, placebo: -3.2 MHDs

## DISCUSSION

- Discussion of results**
- While this study was not powered for the primary endpoint, promising results at Month 2 and in a pre-specified per-protocol analysis point to efficacy signals for CER-001. Future studies will use a revised formulation. The tolerability of both CER-001 and placebo in this study and the subsequent withdrawal rate in both arms led to a high degree of variability in the data, which in addition to the small sample size affects the study conclusions. However, the magnitude of effect seen, even in the small numbers, gives reason to further explore development in this indication. Continued access to CER-001 was requested by three subjects and is managed under a Special Access Scheme.
  - The targets of the traditional preventive treatments for migraine are the brain's excitation/inhibition balance and/or serotonin metabolism and come with associated side effect profiles. The newer CGRP therapies are better tolerated for many than traditional therapies and effective in studies with large sample sizes and effect sizes ranging from a -0.8 (rimegepant, episodic and chronic migraine) to -2.6 migraine day (eptinezumab, chronic migraine) difference to placebo. While the CGRP agents provide a welcome new treatment option, further treatment options are still required to address the multifactorial nature of the disease, with heterogeneous migraine types responding differently to treatments. Studies suggest a metabolic pathway as a valid and viable target which has not yet been fully explored. Data from case studies and controlled trials of the ketogenic diet support this target [5-8]. Ketogenic diets are difficult to maintain. CER-001 is a ketogenic therapy without the need for dietary modifications or restrictions that offers a different target to the established migraine preventive therapies.

## CONCLUSIONS

- No significant safety issues were identified during the study, but neither the AC-SO-03 formulation of CER-001 nor the matching placebo appeared well tolerated
- Although the primary endpoint showed no difference of tricaprilin to placebo on the primary endpoint (change from baseline in migraine headache days [MHD] in Month 3 in the EES), results from Month 2 (EES, EETS), and Month 3 (EETS) indicate an efficacy signal
- The similar incidence of causally-related TEAEs, and the withdrawal rate in both treatment arms indicate poor tolerability of the formulation rather than CER-001
- Results of the pilot suggest directional promise over 2-3 months for oral tricaprilin, and a new formulation will be used for larger, fully-powered Phase 2/3 studies

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## AFFILIATIONS

1. Cerecin Australia Pty Ltd